



Application No.: TBA

Atty Docket No. EMC-001.01

Applicant(s) Charles F. Cella, et al.

Title: CONTINTENCY-BASED OPTIONS AND FUTURES FOR EVENT TICKETS AND RELATED GOODS AND SERVICES

The following was/were received in the U.S. Patent & Trademark Office mail room on the date stamped hereon:

1. New U.S. Patent Application entitled: CONTINTENCY-BASED OPTIONS AND FUTURES FOR EVENT TICKETS AND RELATED GOODS AND SERVICES, COMPRISING ~~30~~ PAGES OF SPECIFICATION; 10 PAGES OF DRAWINGS.
2. Unexecuted Combined Declaration and Power of Attorney; and
3. Return postcard.

Atty: MPV  
EXPRESS MAIL NO.: EL199621456US

Mailing Date: June 9, 2000

Jc525 U.S. PTO

09/586723



06/05/00

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JUN 12 2000

PATENT DEPT.  
DOCKETING

Application No.: TBA

Atty Docket No. EMC-001.01

Applicant(s) Charles F. Cella, et al.

Title: CONTINTENCY-BASED OPTIONS AND FUTURES FOR EVENT TICKETS AND RELATED GOOD AND SERVICES

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09/586723



06/05/00

## PATENT APPLICATION TRANSMITTAL LETTER

PATENT GROUP  
FOLEY, HOAG & ELIOT LLP  
ONE POST OFFICE SQUARE  
BOSTON, MA 02109-2170

Att'y Docket No: <sup>EMC</sup>~~PTO~~-001.01

To the Assistant Commissioner for Patents

Transmitted herewith for filing under 37 C.F.R. 1.11 and 37 CFR 1.53 is the patent application of:

Charles F. Cella, Edward J. Kelly, Matthew P. Vincent

entitled: Contingency-based Options and Futures for Event Tickets and Related Goods and Services

Enclosed are:

- (X) 40 pages of written description, claims and abstract.  
(X) 10 sheets of drawings.  
( ) an assignment of the invention to \_\_\_\_\_.  
( ) executed declaration of the inventors.  
( ) a certified copy of a \_\_\_\_\_ application.  
( ) a verified statement to establish small entity status under 37 CFR 1.9 and 1.27.  
(X) other: unexecuted Declaration, Petition and Power of Attorney.

## CLAIMS AS FILED

	# FILED	# EXTRA	Rate	FEE	Rate (Sm. Entity)	FEE
BASIC FEE			\$790		\$395	
TOTAL CLAIMS	-20=		x \$22		x \$11	
INDEPENDENT CLAIMS	-3=		x \$82		x \$41	
MULTIPLE DEP. CLAIMS			\$270		\$135	
* NO. EXTRA MUST BE ZERO OR LARGER	TOTAL					\$

- ( ) A check in the amount of \$ \_\_\_\_\_ to cover the filing fee is enclosed.  
( ) The Commissioner is hereby authorized to charge and credit Deposit Account No. 06-1448 as described below. A duplicate copy of this sheet is enclosed.  
( ) Charge the amount of \$ \_\_\_\_\_ as filing fee.  
( ) Credit any overpayment.  
( ) Charge any additional filing fees required under 37 CFR 1.16 and 1.17.

## Certificate of Express Mail

Express Mail Label: EL199621456US

I hereby certify that this correspondence is being deposited with the United States Postal Service as Express Mail, postage prepaid, "Post Office to Addressee", in an envelope addressed to the Assistant Commissioner for Patents, Box Patent Application, Washington, D.C. 20231 on the date specified below:

June 5, 2000  
Date of Deposit

  
Matthew P. Vincent, Ph.D.  
Reg. No. 36,709



03 COT

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of:

Matthew Vincent et al.

Serial No: 09/586,723

Filed: June 5, 2000

For: CONTINGENCY BASED OPTIONS  
AND FUTURES FOR  
CONTINGENT TRAVEL  
ACCOMODATIONS

Art Unit: Not Assigned

Attorney Document No.: EMC-001.01 (22718)

CERTIFICATE OF FIRST CLASS MAILING

I hereby certify that this correspondence is being deposited with the United States Postal Service as First Class Mail, in an envelope addressed to: Commissioner for Patents, Washington, D.C. 20231, on the date set forth below:

1/26/01  
Robert King

[Signature]  
Date

PETITION TO SUBMIT OMITTED ITEM(S) IN A NONPROVISIONAL APPLICATION

Commissioner for Patents and Trademarks  
Washington, DC 20231

Sir:

Responsive to the Notice of Omitted Item(s) in a Non-Provisional Application mailed December 22, 2000 (copy enclosed), applicants submit Figure 2 as described in the specification.

Applicants also note that Figure 2 was submitted at the time of filing but without the proper figure number label. To support this submission applicants enclose a copy of the application transmittal letter, return postcard indicating that Figure 2 was actually included at the time of filing and copies of the drawings as originally filed.

01/30/2001 MMARMOL 00000078 09586723

01 FC:122

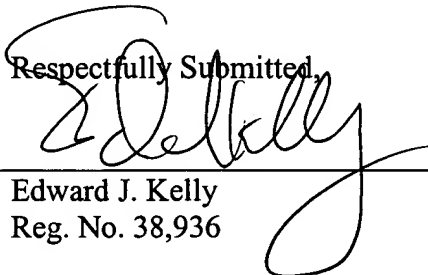
130.00 DP

Enclosed is a check for the Petition fee in the amount of \$130.00. Although we believe that we have submitted the correct amount due, the Commissioner is authorized to credit any overpayment or charge any deficiencies to our Deposit Account No. 06-1448.

Date: 26 Dec 2001

**Customer No: 25181**  
Patent Group  
Foley, Hoag & Eliot LLP  
One Post Office Square  
Boston, MA 02109-2170

Respectfully Submitted,

  
\_\_\_\_\_  
Edward J. Kelly  
Reg. No. 38,936



UNITED STATES PATENT AND TRADEMARK OFFICE

COMMISSIONER FOR PATENTS  
UNITED STATES PATENT AND TRADEMARK OFFICE  
WASHINGTON, D.C. 20231  
www.uspto.gov

APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
09/586,723	06/05/2000	Matthew P. Vincent	EMC-01 (22718)

25181  
FOLEY, HOAG & ELIOT, LLP  
PATENT GROUP  
ONE POST OFFICE SQUARE  
BOSTON, MA 02109

## FORMALITIES LETTER



\*OC000000005635006\*

Date Mailed: 12/22/2000

## NOTICE OF OMITTED ITEM(S) IN A NONPROVISIONAL APPLICATION

## FILED UNDER 37 CFR 1.53(b)

A filing date has been accorded to the above-identified nonprovisional application papers; however, the following item(s) appear to have been omitted from the application:

- Figure(s) **Fig 2** described in the specification.

I. Should applicant contend that the above-noted omitted item(s) was in fact deposited in the Patent and Trademark Office (PTO) with the nonprovisional application papers, a copy of this Notice and a petition (and \$130.00 petition fee (37 CFR 1.17(i))) with evidence of such deposit **must** be filed within **TWO MONTHS** of the date of this Notice. The petition fee will be refunded if it is determined that the item(s) was received by the PTO.

II. Should applicant desire to supply the omitted item(s) and accept the date that such omitted item(s) was filed in the PTO as the filing date of the above-identified application, a copy of this Notice, the omitted item(s) (with a supplemental oath or declaration in compliance with 37 CFR 1.63 and 1.64 referring to such items), and a petition under 37 CFR 1.182 (with the \$130.00 petition fee (37 CFR 1.17(h)) requesting the later filing date **must** be filed within **TWO MONTHS** of the date of this Notice.

III. The failure to file a petition (and petition fee) under the above options (I) or (II) within **TWO MONTHS** of the date of this Notice (37 CFR 1.181(f)) will be treated as a constructive acceptance by the applicant of the application as deposited in the PTO. **THIS TWO MONTH PERIOD IS NOT EXTENDABLE UNDER 37 CFR 1.136(a) or (b).** In the absence of a timely filed petition in reply to this Notice, the application will maintain a filing date as of the date of deposit of the application papers in the PTO, and original application papers (i.e., the original disclosure of the invention) will include only those application papers present in the PTO on the date of deposit.

In the event that applicant elects not to take action pursuant to options (I) or (II) above (thereby constructively electing option (III)), amendment of the specification to renumber the pages consecutively and cancel incomplete sentences caused by any omitted page(s), and/or amendment of the specification to cancel all references to any omitted drawing(s), relabel the drawing figures to be numbered consecutively (if necessary), and correct the references in the specification to the drawing figures to correspond with any relabelled drawing figures, is required. Any drawing changes should be accompanied by a copy of the drawing figures showing the proposed changes in red ink. Such amendment and/or correction to the drawing figures, if necessary, should be by way of preliminary amendment submitted prior to the first Office action to avoid delays in the prosecution of the application.

RECEIVED

JAN 12 2001

PATENT DEPT.  
DOCKETING

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*A copy of this notice **MUST** be returned with the reply.*

  
\_\_\_\_\_  
Customer Service Center

Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE